# Systematically Improvable Models From Alchemical Perturbations

Guido Falk von Rudorff, University of Vienna

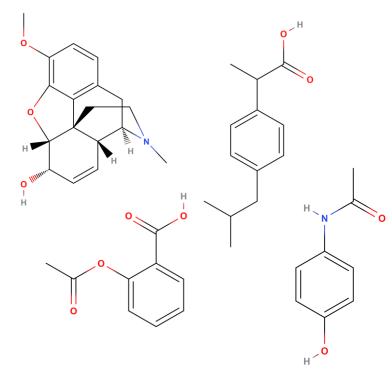






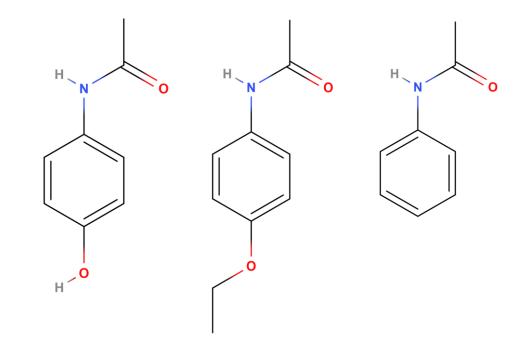
# Introduction

Design: sample by guided trial-and-error.



**Global Search Problem** Which class of compounds?

Drug-like: 10<sup>60</sup>



#### Local Search Problem

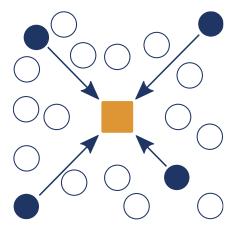
Which particular species within that class?

BN-doped 8x8 graphene: 10<sup>50</sup>

G. Ceder, Science 1998. A. Franceschetti, A. Zunger, Nature, 1999. A. Mullard, Nature, 2017.

# Introduction

#### **Machine Learning**



### **Quantum Alchemy**

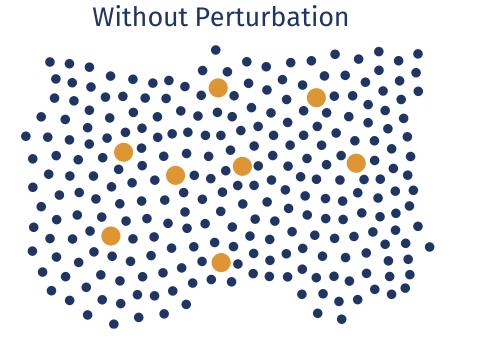
Foundations | Statistical modelling

Accuracy | Systematically improvable through data and training Specialty | Universal, scale-bridging, data-driven approach Limitation | Requires training data, no black box

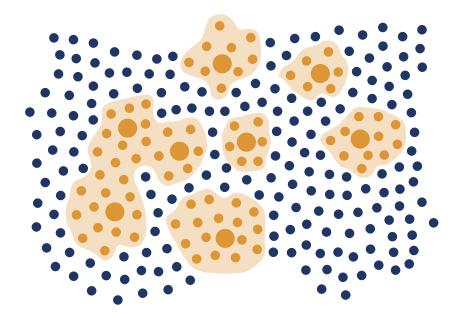
Foundations | Perturbation theory

Accuracy | Systematically improvable through higher orders terms Specialty | Combinatorial scaling with chemical diversity Limitation | Finite range in chemical space

# Introduction



#### With Perturbation



### Systems/Molecules

- Any
- Known
- Approximated

#### Paradigm shift Few highly accurate calculations instead of many intermediate ones

$$\hat{\mathbf{H}} = \hat{\mathbf{H}}(Z_i, \mathbf{R}_i, N_e, \sigma)$$

$$1D$$

$$4N$$

$$1D, \text{ close to } \sum_i Z_i$$

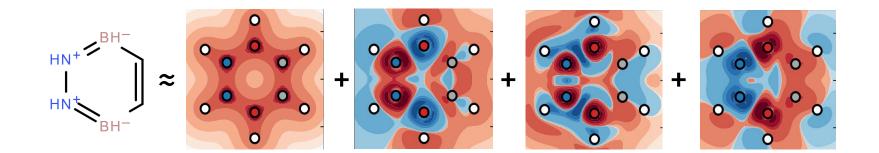
# Quantum Alchemy

### **Quantum Alchemy**

### Taylor expansion

- Energy function of
  - Geometry

- Forces, Vibrations
- Nuclear charges Alchemical changes
- Idea: obtain dominant leading derivatives, predict many systems



E. B. Wilson, *J. Chem. Phys.* **1962. GFvR**, O. A. von Lilienfeld, *Phys. Rev. Res.*, **2020**.

### Quantum Alchemy

Interpolate between molecular isoelectronic Hamiltonians

 $\hat{H}(\lambda) \equiv \lambda \hat{H}_{t} + (1-\lambda)\hat{H}_{r} \qquad \lambda \in [0,1]$ 

Taylor expansion around reference molecule

$$E_{t} = \sum_{n=0}^{\infty} \left. \frac{1}{n!} \frac{\partial^{n}}{\partial \lambda^{n}} \left\langle \psi_{\lambda} \left| \hat{H}(\lambda) \right| \psi_{\lambda} \right\rangle \right|_{\lambda=0} = E_{r} + \sum_{n=1}^{\infty} \left. \frac{1}{n!} \left. \frac{\partial^{n} E(\lambda)}{\partial \lambda^{n}} \right|_{\lambda=0} \right|_{\lambda=0}$$

Hellmann-Feynman theorem

$$\partial_{\lambda} E = \left\langle \psi_{\lambda} \left| \hat{H}_{t} - \hat{H}_{r} \right| \psi_{\lambda} \right\rangle = \Delta E^{NN} + \int_{\Omega} d\mathbf{r} \underbrace{\left( v_{t}(\mathbf{r}) - v_{r}(\mathbf{r}) \right)}_{\equiv \Delta v} \rho_{\lambda}(\mathbf{r})$$

O. A. von Lilienfeld, J. Chem. Phys. 2009.

### Quantum Alchemy

Alchemical Perturbation Density Functional Theory (APDFT)

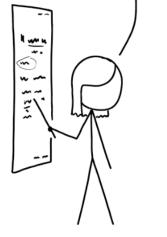
$$E_{t} = E_{r} + \Delta E^{NN} + \int_{\Omega} d\mathbf{r} \sum_{n=0}^{\infty} \frac{1}{(n+1)!} \Delta v \frac{\partial^{n} \rho_{\lambda}(\mathbf{r})}{\partial \lambda^{n}} \Big|_{\lambda=0}$$

$$\rho_{t} = \sum_{n=0}^{\infty} \frac{1}{n!} \left. \frac{\partial^{n} \rho}{\partial \lambda^{n}} \right|_{\lambda=0}$$
At this point, you're probably this equation and wish it would never end?"

- Gives consistent energies, densities, forces, ...
- Uses the same derivatives for all predictions

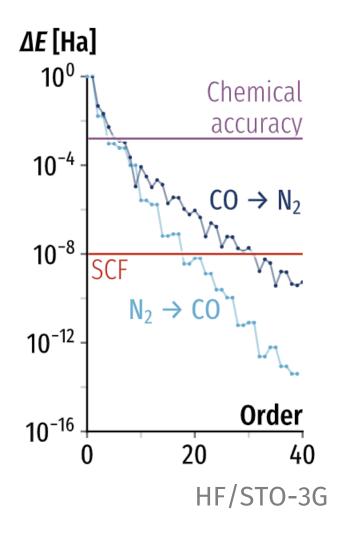
ferchault/APDFT

GFvR, O. A. von Lilienfeld, Phys. Rev. Res., 2020.



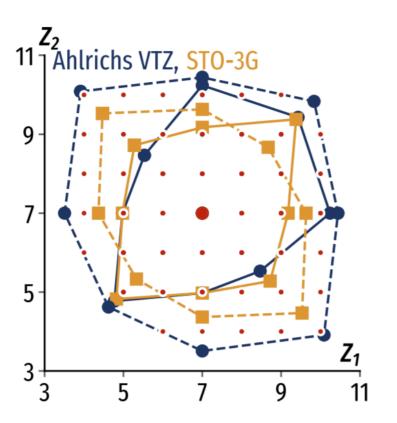
xkcd.com/2605

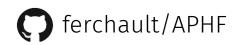
### Convergence



### **Taylor expansion**

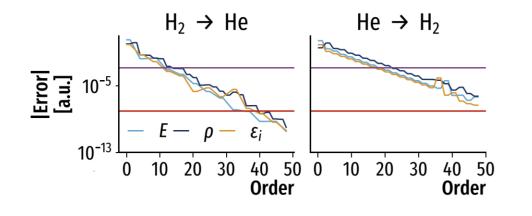
- First terms accurate enough
  - Truncate early
- Converges to the right value
- Large convergence radius
- Scales with chemical space

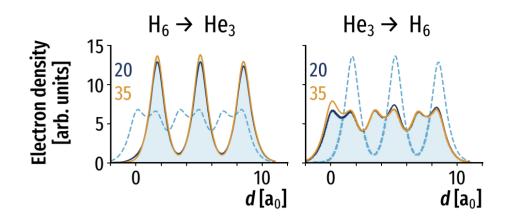




#### GFvR, J. Chem. Phys. 2021.

### Convergence





#### **Taylor expansion**

1.4

1.6 **d [a\_]** 

He  $\rightarrow$  H<sub>2</sub>

E[Ha]

1.2

-1.10

-1.11

-1.12

-1.13

-1.14

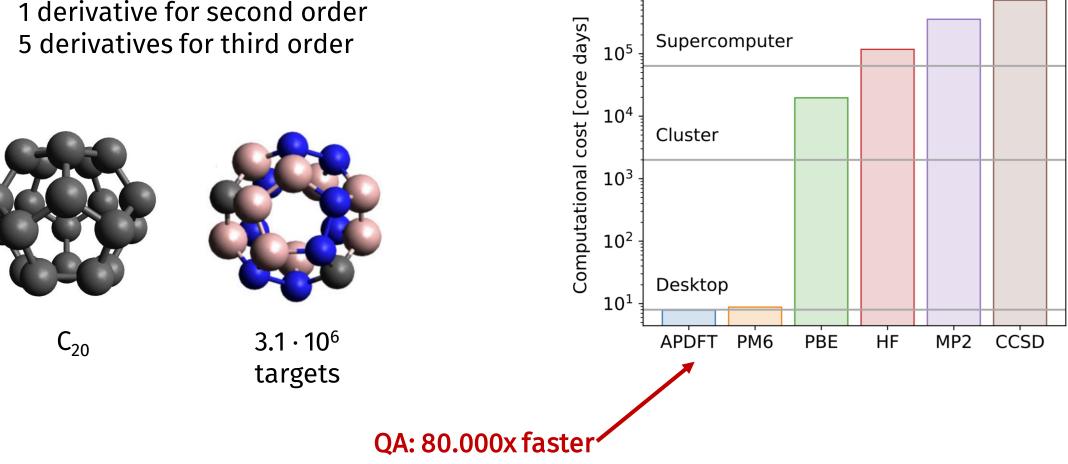
- Large changes still converge (more slowly)
- Geometric response can be recovered

GFvR, J. Chem. Phys. 2021.

# **Covalent interactions**

### Scaling with chemical space

- 1 derivative for second order -
- -



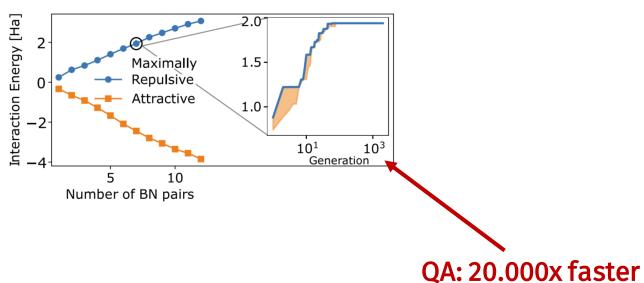
 $10^{6}$ 

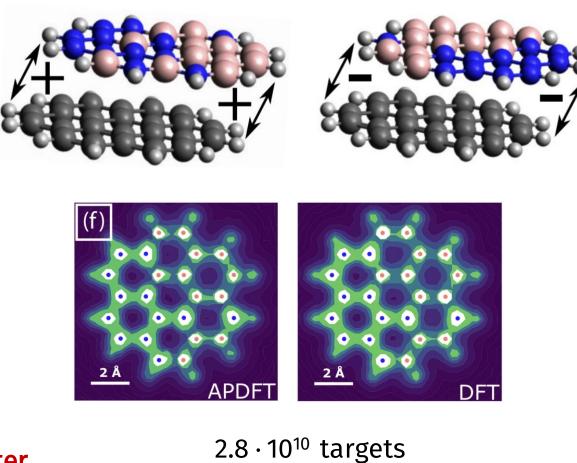
GFvR, O. A. von Lilienfeld, Phys. Rev. Res., 2020.

# Non-covalent interactions

### **BN-doped coronene dimer**

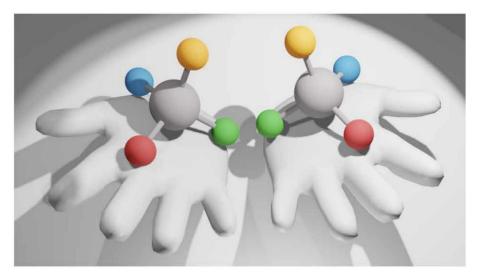
- Identify most/least attractive doping pattern
- Design case

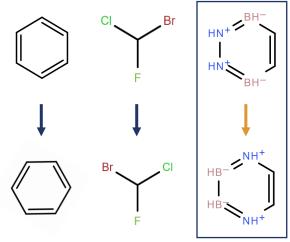


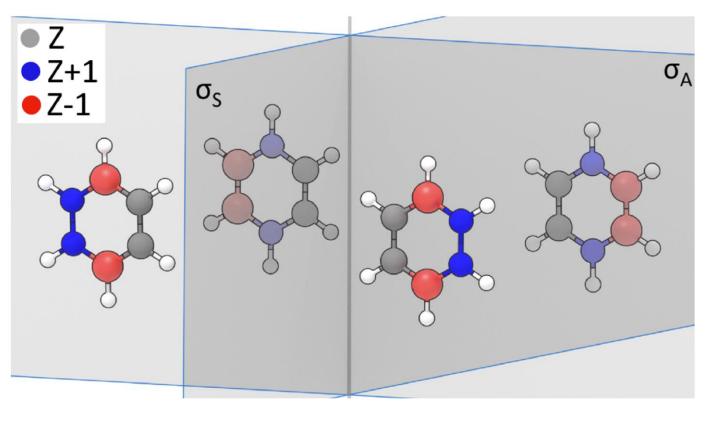


GFvR, O. A. von Lilienfeld, *Phys. Rev. Res.*, 2020.

Quasi-degeneracy for systems if this symmetry applies to them.

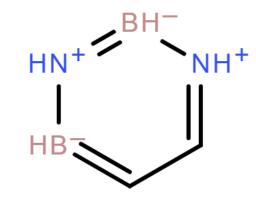




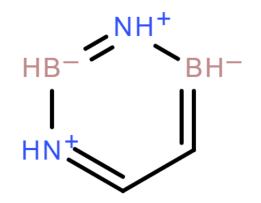


### Alchemical enantiomers are

- two spatially non-superimposable,
- alchemically coupled,
- and iso-electronic compounds with the same formal charge,
- where each transmutating atom is assigned to exactly one subset within each of which averaging of nuclear charges results in identical chemical environments.

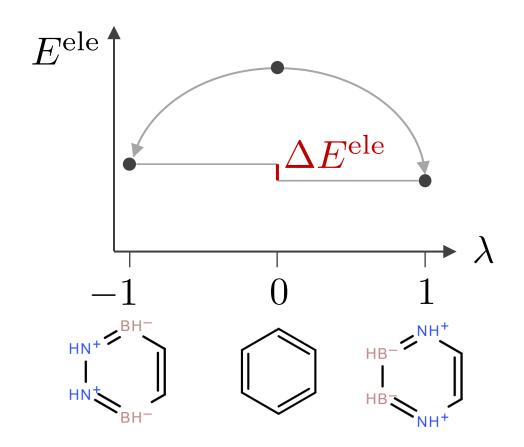


### These are no alchemical enantiomers!



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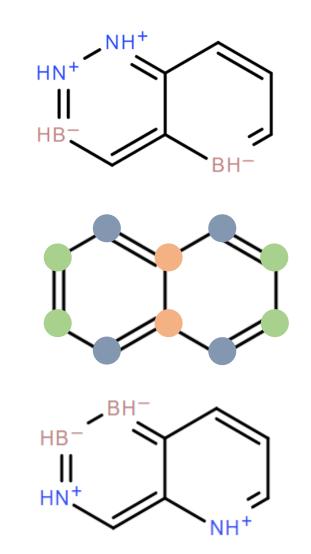


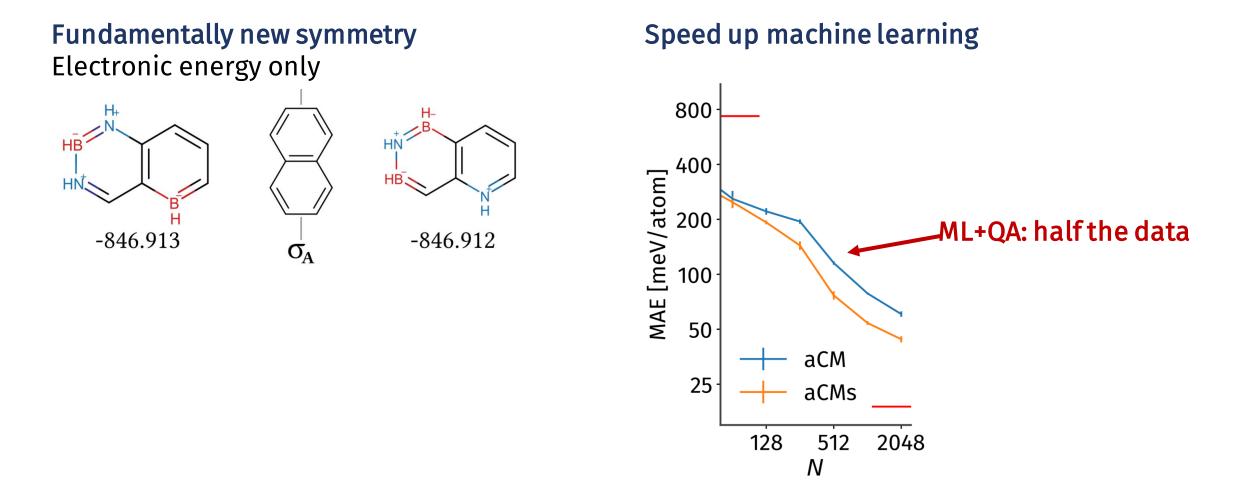
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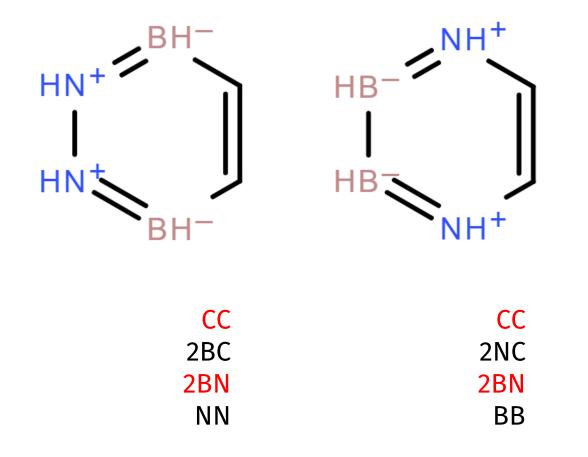
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GFvR, O. A. von Lilienfeld, Science Adv. 2021.

GFvR, O. A. von Lilienfeld, *in preparation*.

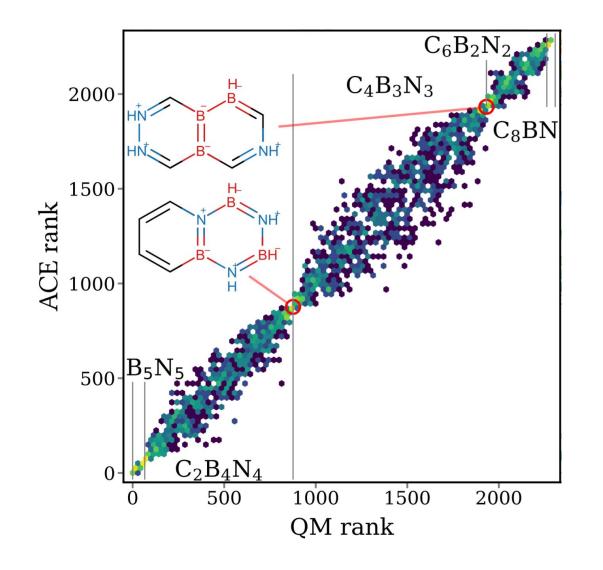


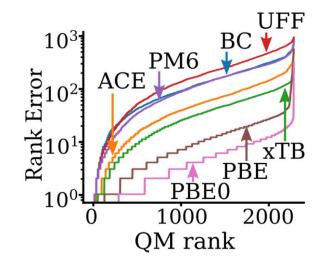
Consecutive Elements Q R S B C N

$$E_{\rm QR} \simeq E_{\rm SR} + 0.5(E_{\rm QQ} - E_{\rm SS})$$

Other skeletons and all substitution patterns

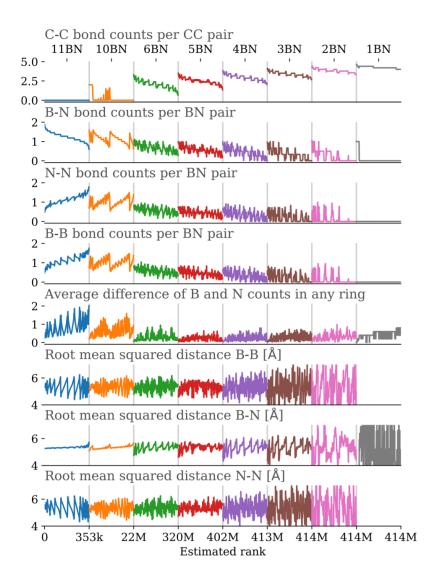
- More such rules
- No violations





 BN-doped naphthalene CCSD/cc-pVDZ Molpro/MRCC/xTB-GFN2/mopac/OpenBabel

 BN-doped naphthalene CCSD/cc-pVDZ Molpro/MRCC



x 414 M

- Design rules in order of decreasing strength QA: Millions at once!
- Add BN pairs
- Maximize CC bonds
- Substitute sites shared between rings
- Maximize BN bonds
- Avoid N substitutions on rings sharing a larger amount of bonds with other rings
- Balance BN substitutions in each ring

Not a single QM calculation required!

# Alchemical Integral Transform

Derivatives without electronic perturbations

$$E_{\rm t} = E_{\rm r} + \Delta E^{\rm NN} + \int_{\Omega} d\mathbf{r} \sum_{n=0}^{\infty} \frac{1}{(n+1)!} \left. \Delta v \frac{\partial^n \rho_{\lambda}(\mathbf{r})}{\partial \lambda^n} \right|_{\lambda=0}$$

 $\mathbf{r} 
ightarrow \mathbf{r}(\lambda)$  + chain rule + partial integration e.g. for second order in 1D

$$\Delta E^{(2)} = \frac{1}{2} \int_{\Omega} dr \Delta v(r(\lambda)) \left. \frac{\partial \rho(r(\lambda))}{\partial r} \frac{\partial r}{\partial \lambda} \right|_{\lambda=0}$$

$$= -\frac{1}{2} \int_{\Omega} dr \rho(r(\lambda)) \left. \frac{\partial \Delta v(r(\lambda))}{\partial r} \frac{\partial r}{\partial \lambda} \right|_{\lambda=0}$$

SL Krug, GFvR, O. A. von Lilienfeld, arXiv, 2203.13794, 2022.

# Alchemical Integral Transform

Derivatives without electronic perturbations

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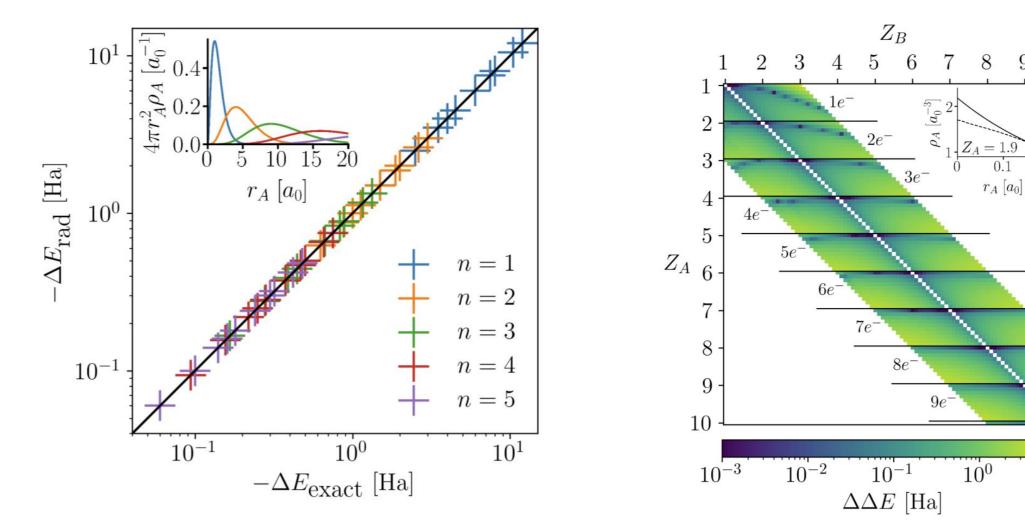
3D, arbitrary order 2n+1 
ightarrow 0

$$\Delta E = \int_{\Omega} d\mathbf{r} K(\mathbf{r}, v_i, v_f) \rho(v_i)$$
Ugly, but analytical Only one!

SL Krug, GFvR, O. A. von Lilienfeld, arXiv, 2203.13794, 2022.

# Alchemical Integral Transform

#### Hydrogen-like atom



#### **Multi-electron atom**



9

10

0.2

 $10^{1}$ 

# Limitations

### Both energy and density derivatives are hard

- Finite differences
- Hellmann-Feynman
- Coupled-perturbed
- **Conceptual DFT**
- Automatic differentiation

finite order, basis set inaccurate

- finite order, tedious
- memory hungry, no post-HF
- niche: DiffiQult, quax, dqc, ...

### Gaussian basis sets not overly cooperative

- Elements are discrete, derivatives are not
- Density converges more slowly with basis set quality than energy: Problem for APDFT and AIT
- Unless complete basis set limit: Pulay terms

### Convergence

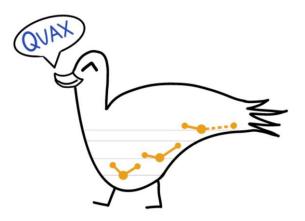
- **Finite radius**
- Not all systems are made equally



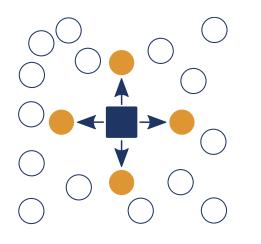
C aspuru-guzik-group/DiffiQult

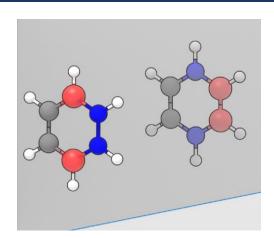
CCQC/Quax

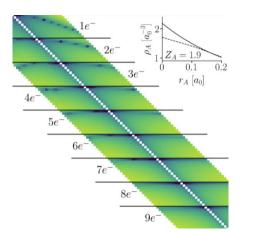
diffqc/dqc Alchemy!

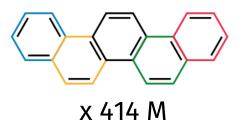


### Summary









Quantum Alchemy yields systematically improvable results.

ferchault

Closed expressions reveal structure of chemical space.

guido.vonrudorff.de

Efficient ways to obtain derivatives in progress.

Combinatorial scaling with size of system.

Quantum Alchemy | Phys. Rev. Res. 2020, 2, 023220. Convergence | J. Chem. Phys. 2021, 155(22), 224103. Alchemical Chirality | Sci. Adv. 2021, 7, eabf1173. Integral Transform | arXiv 2022, 2203.13794.

@ferchault





Simon Krug Anatole von Lilienfeld Theory

$$\Delta E_{ij}^{\text{ele}} = E_0 - E_0 + \int_{\Omega} d\mathbf{r} \sum_{n=0}^{\infty} \frac{\Delta v_i}{(n+1)!} \left[ \frac{\partial^n \rho}{\partial \lambda_i^n} + \frac{\partial^n \rho}{\partial \lambda_j^n} \right]$$

$$\Delta E_{(0)}^{\rm ele} = E_0 - E_0 = 0$$

$$\Delta E_{(1)}^{\text{ele}} = 2 \int_{\Omega} \Delta v \rho = \int_{\Omega} e \cdot o = 0$$
  
$$\Delta E_{(2)}^{\text{ele}} = \int_{\Omega} \Delta v \left[ \frac{\partial \rho}{\partial \lambda_i} + \frac{\partial \rho}{\partial \lambda_j} \right]$$
  
$$= \int_{\Omega} \Delta v \left[ \sum_{I} \frac{\partial \rho}{\partial Z_I} \frac{\partial Z_I}{\partial \lambda_i} + \frac{\partial \rho}{\partial Z_I} \frac{\partial Z_I}{\partial \lambda_j} \right] = 0$$